

ATTORNEY DOCKET NO.  
069078.0110

PATENT APPLICATION

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JC621 U.S. PRO  
10/053026  
01/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James M. Florence  
Serial No.: Unknown  
Filing Date: January 18, 2002  
Group No.: Unknown  
Examiner: Unknown  
Title: METHOD AND APPARATUS FOR ADDING OR  
DROPPING A COMPONENT OF AN OPTICAL  
SIGNAL

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants are submitting copies of several documents which may be material to examination of the present application. Each enclosed document is listed on enclosed Form PTO-1449.

A comment is believed appropriate regarding the enclosed document entitled "Challenges in Design and Production of Narrow Band Filters for Optical Fiber Telecommunications". A date of "12/20/2001" appears in the lower right corner of each page of this document, but this is merely the date on which the document was printed on paper from an electronic form. The document was publicly available as of an earlier date, although the first date on which this document became publically available is not known to Applicants.

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Favorable consideration of this patent application  
is respectfully requested.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



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Date: January 18, 2002

Enclosures: Form PTO-1449, and one copy  
of listed document

PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No. Unknown		Applicant(s) James M. Florence.	
	Docket Number 069078.0110	Group Art Unit Unknown	Filing Date 01/18/2001	

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

JC821 U.S. PTO  
10/05/02  
01/18/02

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	J							
	K							
	L							

## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)						
	M	Angus Macleod, "Tutorial on the Design of Telecommunication Filters", Thin Film Center, Inc., Tucson, Arizona, 58 pages, date unknown.						
	N	"Corning Unveils Breakthrough in DWDM Filter Components", Corning Incorporated, Corning, New York, 10-1-2001, 2 pages.						
	O	"A Compact Answer to a Huge Question", Corning Incorporated, Endicott, New York, 2001, 1 page.						
	P	"100 GHZ Optical Add/Drop Multiplexer (1 x 2)", Oplink Communications, Inc., pp.23-24.						
	Q	"Applications Data - terra Q DWDM Optical Channel Filters", Cierra Photonics, Inc., Santa Rosa, California, 6 pages, date unknown.						
	R	Angus MacLeod, "Challenges in Design and Production of Narrow Band Filters for Optical Fiber Telecommunications", Thin Film Center, Inc., Tucson, Arizona, 44 pages, date unknown.						
EXAMINER					DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE